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09/242822

February 24, 1999

**BOX PCT**

Assistant Commissioner for Patents  
 Washington, D.C. 20231

PCT/FR98/01441  
 -filed July 6, 1998

Re: Application of Georges FICHE  
 A NON-BLOCKING DEVICE FOR SWITCHING ATM CELLS  
 Our Ref: Q053403

Dear Sir:

The following documents and fees are submitted herewith in connection with the above application for the purpose of entering the National stage under 35 U.S.C. § 371 and in accordance with Chapter I of the Patent Cooperation Treaty:

- ☒ an executed Declaration and Power of Attorney.
- ☒ an English translation of the International Application with four (4) sheets of formal drawings
- ☐ an English translation of Article 19 claim amendments.
- ☐ an English translation of Article 34 amendments (annexes to the IPER).
- ☒ an executed Assignment and PTO 1595 form.
- ☐ a Form PTO-1449 listing the ISR references, and a complete copy of each reference.
- ☒ a Preliminary Amendment

The Government filing fee is calculated as follows:

Total claims	5 - 20	=		x \$18.00	=	\$0.00
Independent claims	1 - 3	=		x \$78.00	=	\$0.00
Base Fee						\$840.00

<b>TOTAL FILING FEE</b>	<b>\$840.00</b>
<b>Recording of Assignment</b>	<b>\$40.00</b>
<b>TOTAL FEE</b>	<b>\$880.00</b>

Checks for the statutory filing fee of \$840.00 and Assignment recordation fee of \$40.00 are attached. You are also directed and authorized to charge or credit any difference or overpayment to said Account. The Commissioner is hereby authorized to charge any fees under

Assistant Commissioner of Patents and  
Trademarks

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application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Priority is claimed from July 07, 1997 based on French Application No. 9708604.

Respectfully submitted,

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J. Frank Osha  
Registration No. 24,625  
for David J. Cushing

Date: February 24, 1999

09/242822

300 Rec'd PCT/PTO 24 FEB 1999

**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

PCT/FR98/01441

Georges FICHE

Attorney Docket No: Q52221

Application No:

Group Art Unit:

Filed: February 24, 1999

Examiner:

For A NON-BLOCKING DEVICE FOR SWITCHING ATM CELLS

**PRELIMINARY AMENDMENT**

ATTN: **PCT BRANCH**  
Assistant Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Preliminary to examination of the above-identified application, please make the following amendments:

**IN THE CLAIMS:**


Claim 5, line 1, delete "or claim 4".

**REMARKS**

The above amendments were made to cancel the multidependency of the referenced claims.

Early and favorable actions on the merits is respectfully requested.

Respectfully submitted,

  
\_\_\_\_\_  
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09/242822-02249

## A NON-BLOCKING DEVICE FOR SWITCHING ATM CELLS

The field of the invention is that of digital data transmission. To be more precise, the invention concerns switching ATM (Asynchronous Transfer Mode) cells between  
5 a plurality of incoming channels and a plurality of outgoing channels, in particular in the case of variable bit rate ATM services.

The general principle of ATM transmission is well known and many techniques for implementing switching  
10 nodes between inputs and outputs have already been proposed. One criterion for estimating the efficacy of such techniques is cell loss rate, in other words the risk of blocking within a switching node.

This risk is relatively high in prior art techniques, in particular in the context of variable bit  
15 rate services. It can happen that cells accepted at an input of the switching node cannot be transferred to the required output because of excessive congestion on one of the internal links of the switching node.

The techniques most widely used at present are based on the Clos structure which is described for example in  
20 "A study of Non-Blocking Switching Networks" by C.Clos (The Bell System Technical Journal, pp 406-424, March 1953).

Figure 1 shows a Clos network. It has three stages:

- an inlet stage 11 comprising a plurality of  
matrices 111 with R inputs 112 and K outputs 113;
- a central (intermediate) stage 12 comprising K  
matrices 121 each connected to one of the outputs 113 of  
30 each of the input matrices 111; and
- an outlet stage 13 comprising the same number of  
matrices 131 as the inlet stage 11, each of the matrices  
131 having K inputs 132 respectively connected to the K  
matrices 121 of the central stage 12 and R outputs 133.

35 Using a plurality of stages minimizes both the number of connection points and the size of the matrices.

The above technique is effective if the switched

calls are at fixed bit rates. It can then be shown that it is sufficient for  $K$  to be greater than or equal to  $2R-1$  for the network to be non-blocking. In a non-blocking network any free input (i.e. any input able to  
5 accept a new call) can be connected to any free output.

The essential problem with the ATM technique is that it is called upon to transmit calls with variable bit rates which can sometimes require a very low or even  
10 bit rate up to some given peak bit rate.

When a Clos structure is used, this leads to considering each call to have a fixed bit rate equal to its peak bit rate. Clearly the above approach is particularly ineffective, especially when calls come in  
15 bursts, and feature long time periods in which the bit rate is low. A high bandwidth is then reserved permanently, to no good purpose.

Consider for example two calls with low levels of activity but requiring high bandwidths when they are  
20 active. If there is a low probability that their times of activity are the same they can use the same path. On the other hand, on the basis of the peak bit rate, cohabitation on the same path is impossible.

For a given switching structure it would be possible at all times to test the validity of the selected paths against the specific multiplexing law  
25 employed. However, there is no a priori method of quantifying the blocking of a network of the above kind, given the infinite number of possible combinations of traffic on the incoming and outgoing links.  
30

One object of the invention is to alleviate the drawbacks of the prior art.

To be more precise, a first object of the invention is to provide a non-blocking device for switching ATM  
35 cells. In other words, the object of the invention is to provide a device of the above kind that can provide switching between any free input and any free output.

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The invention applies in particular to switching variable bit rate calls multiplexed at the input and/or at the output. For this type of system a traffic acceptance law is defined guaranteeing a probability of saturation below a given threshold (conventionally  $10^{-x}$ ).  
5 A call can then be accepted on a link if the total traffic has an acceptable saturation probability.

Given this approach, the object of the invention is to provide a switching device that guarantees a  
10 saturation probability on the intermediate links that is less than or equal to that accepted (in accordance with the same law) on the input and output links.

In other words, an object of the invention is to provide a device of the above kind that is statistically  
15 non-blocking.

The above objects, together with others that become apparent below, are achieved according to the invention with the aid of a device for switching ATM cells establishing a single path per virtual circuit, having  
20 N.R inputs and N.R outputs, N and R being two integers not less than two, the device comprising at least two stages, including an inlet stage having R.N sets of Q outputs and an outlet stage having R.N sets of Q' inputs,

characterized in that for the flow of data carried  
25 by any intermediate link that is part of the single path set up between an input and an output to be a subset of the incoming flux at that input and also a subset of the outgoing flux at that output, each input of the inlet stage can be connected to an output of the inlet stage  
30 which can be selected only from Q outputs exclusively associated with that input; and

in that each output of the outlet stage can be connected to an input of the outlet stage which can be selected only from Q' inputs of the outlet stage  
35 exclusively associated with that output.

Accordingly, the device in accordance with the invention uses a tree structure with perfect meshing at

The same multiplexing rule is advantageously used on the incoming links and on the outgoing links of all said stages.

In other words, the switching network is "transparent" in terms of blocking probability. If traffic (or a service) can be accepted at an input and an output, it is certain that it can be transmitted from one to the other.

Various architectures can be considered for a device of the above kind. For example it may comprise only an inlet stage and an outlet stage each comprising N switching matrices, and be characterized:

in that,  $Q'$  being equal to  $N$ , each matrix of the  
30 outlet stage has  $R$  outputs and  $N.R$  inputs; and in that  
each output of that matrix can be connected to an input  
of that matrix which can be selected only from  $R.N$  inputs  
of that matrix; and

The above structure nevertheless pre-supposes large

matrices for the second stage. Another embodiment comprises an inlet stage, a central stage, and an outlet stage and is characterized:

- in that, Q being equal to R, the inlet stage  
5 comprises N matrices each having R inputs and  $R^2$  outputs,  
those outputs being organized into R sets of R outputs  
each corresponding to one of said R inputs, and in that  
each input of that matrix can be connected to an output  
of that matrix which can be selected only from R outputs  
10 of the set of outputs corresponding to that input;

- in that the central stage comprises R sets of R matrices each having N inputs and N outputs, the R outputs of each set of outputs of the inlet stage being connected to inputs belonging to the same set of R matrices of the central stage; and

- in that,  $Q'$  being equal to  $R$ , said outlet stage comprises  $N$  matrices each having  $R^2$  inputs and  $R$  outputs, those  $R^2$  inputs being organized into  $R$  sets of  $R$  inputs, each set respectively corresponding to one of those  $R$  outputs; and in that each output of that matrix can be connected to an input of that matrix which can be selected only from  $R$  inputs of the set of inputs corresponding to that output; and in that the  $R$  inputs of each set are respectively connected to  $R$  outputs respectively belonging to the  $R$  sets of matrices of the central stage.

It is therefore possible to use smaller, conventional matrices.

In another embodiment the device of the invention  
30 can comprise an inlet stage, a central stage, and an  
outlet stage and be characterized:

- in that Q and Q' are equal to R,
- in that the central stage includes  $R^2$  matrices,
- in that the inlet stage and the outlet stage each

35 comprise R.N switching matrices,

- in that the matrices of the inlet stage and the
- matrices of the central stage are organized into R sets





The invention concerns a non-blocking ATM cell  
5 switching device or network using statistical  
multiplexing, in particular for variable bit rate ATM  
services.

Each link  $212_i$ ,  $213_i$  and  $223_k$  conforms to the same traffic acceptance law (for example a saturation probability less than  $10^{-x}$ ).

Similarly, each matrix  $221_i$  of the outlet stage can receive data from each input  $212_i$  of the inlet stage. The flux conveyed by each of the intermediate links  $222_k$  reaching a matrix  $221_i$  can be transmitted to any of its outputs  $223_i$ .

35           - a subset (or possibly the complete set) of  
traffic accepted on the input link from which they  
originate; and

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Note however that the Figure 2 structure requires large matrices in the second stage because of the large number of possible connections.

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that matrix exclusively associated with that output.

It is a simple matter to derive a "narrowband" network from a "broadband" network, as shown in Figure 4A, because all that is required is to use 16 inputs per matrix 41 and 16 outputs per matrix 42 for the "narrowband" network or only 4 inputs per matrix 41 and 4 outputs per matrix 42 for the "broadband" network. The switching device as a whole remains unchanged.

In practice the "broadband" network (Figure 4B) is derived from the "narrowband" network (Figure 4A). The matrices are then used incompletely (only 4 inputs or 4 outputs) and restricted routing is employed in the input and output matrices.

Figure 5 shows another implementation of the technique of the invention. Instead of using prior art ( $N \times N$ ) matrices incompletely, specially designed  $1 \times R$  matrices are used (associating an input with  $R$  outputs or, symmetrically,  $R$  inputs with one output).

Using this technique there are  $R$  input blocks  $41_1$  through  $41_R$  and  $N$  output blocks  $42_1$  through  $42_N$ .

Each input block  $41_i$  comprises:

- $N$  input matrices  $411_1$  through  $411_N$  each having a single input  $412_1$  through  $412_N$  and  $R$  outputs  $413_{1,1}$  through  $413_{N,R}$ . In this embodiment each input is associated with  $R$  links respectively corresponding to the  $R$  matrices of the next stages; and

- $R$  central matrices  $414_1$  through  $414_R$  each having  $N$  inputs and  $N$  outputs. Each input corresponds to a distinct input matrix.

Each of the  $N$  output blocks  $42_i$  comprises  $R$  matrices  $421_1$   $421_R$  each having a single output  $422_1$   $422_R$  and receiving  $R$  links  $423_{i,1}$  through  $423_{i,R}$  from the respective  $R$  input blocks  $41_1$   $41_R$ .

In this structure each link  $413_i$  carries only a subset of traffic from the associated input and each link  $423_i$  carries only a subset of traffic from the associated output. This structure highlights how the invention

functions, namely by demultiplexing input traffic and then demultiplexing outgoing traffic so that certain traffic mixes are avoided.

Note that the matrices used are of optimum size.

5        Figure 5 also shows clearly that the structure of the device of the invention very readily enables broadcasting, which is particularly important in the case of "broadband" services.

10        Of course, the use of  $1 \times R$  matrices described here in a three-stage system can readily be transposed to the two-stage device shown in Figure 2.

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outlet stage has R outputs ( $223_1, \dots, 223_R$ ) and N.R inputs ( $222_{11}, \dots, 222_{1R}$ ); and in that each output ( $223_1$ ) of that matrix can be connected to an input of that matrix which can be selected only from R.N inputs ( $222_{11}, \dots, 222_{1R}$ ) of that matrix; and

in that each of the N outputs ( $213_{11}, \dots, 213_{1N}$ ) of each set of outputs of the first stage is connected to an input ( $222_{11}, \dots$ ) of a respective one of the N matrices of the outlet stage.

3. A switching device according to claim 1 including an inlet stage (31), a central stage (32), and an outlet stage (33); characterized:

- in that, Q being equal to R, the inlet stage (31) comprises N matrices ( $311_1, \dots$ ) each having R inputs ( $312_1, \dots$ ) and  $R^2$  outputs ( $313_{11}, \dots$ ), those outputs being organized into R sets of R outputs each corresponding to one of said R inputs, and in that each input ( $312_1$ ) of that matrix can be connected to an output of that matrix which can be selected only from R outputs ( $313_{11}, \dots, 313_{R1}$ ) of the set of outputs corresponding to that input;

- in that the central stage (32) comprises R sets of R matrices ( $321_{11}, \dots$ ) each having N inputs and N outputs, the R outputs of each set of outputs of the inlet stage being connected to inputs belonging to the same set of R matrices of the central stage; and

- in that, Q' being equal to R, said outlet stage (33) comprises N matrices ( $331_1, \dots$ ) each of those matrices having  $R^2$  inputs ( $332_1, \dots$ ) and R outputs ( $333_1, \dots$ ), those  $R^2$  inputs being organized into R sets of R inputs, each set respectively corresponding to one of those R outputs; and in that each output ( $323_1, \dots$ ) of that matrix can be connected to an input of that matrix which can be selected only from R inputs ( $322_{11}, \dots, 322_{R1}$ ) of the set of inputs corresponding to that output; and in that the R inputs ( $322_{11}, \dots, 322_{R1}$ ) of each set are respectively connected to R outputs respectively belonging to the R



sets of matrices of the central stage (32).

4. A switching device according to claim 1 including an inlet stage (411<sub>1</sub>, ...), a central stage (414<sub>1</sub>, ...), and an outlet stage (421<sub>1</sub>, ...); characterized:
  - in that Q and Q' are equal to R,
  - in that the central stage includes R<sup>2</sup> matrices,
  - in that the inlet stage and the outlet stage each comprise R.N switching matrices,
- 10       - in that the matrices of the inlet stage and the matrices of the central stage are organized into R sets (41<sub>1</sub>, ...) each including N matrices of the inlet stage and R matrices of the central stage and the matrices of the outlet stage are organized into N sets (42<sub>1</sub>, ..., 42<sub>N</sub>) of R
  - 15       matrices;
  - in that each of the R.N matrices (311<sub>1</sub>, ...) of the inlet stage has a single input (412<sub>1</sub>) and R outputs (413<sub>11</sub>, ...),
  - in that each of the R<sup>2</sup> matrices (414<sub>1</sub>, ...) of the
    - 20       central stage has N inputs and N outputs, the N inputs being respectively connected to an output of each of the matrices (411<sub>1</sub>, ..., 411<sub>R</sub>) of the inlet stage that belong to the same set of matrices; and
    - in that each of the R.N matrices of the outlet
      - 25       stage has R inputs (423<sub>1</sub>) and a single output (422<sub>1</sub>), those R inputs being connected to outputs respectively belonging to the R sets of matrices of the central stage and of the inlet stage.
  - 30       5. A switching device according to claim 3 or claim 4, characterized in that  $N = 2R^2$ .

## A B S T R A C T

## A NON-BLOCKING DEVICE FOR SWITCHING ATM CELLS

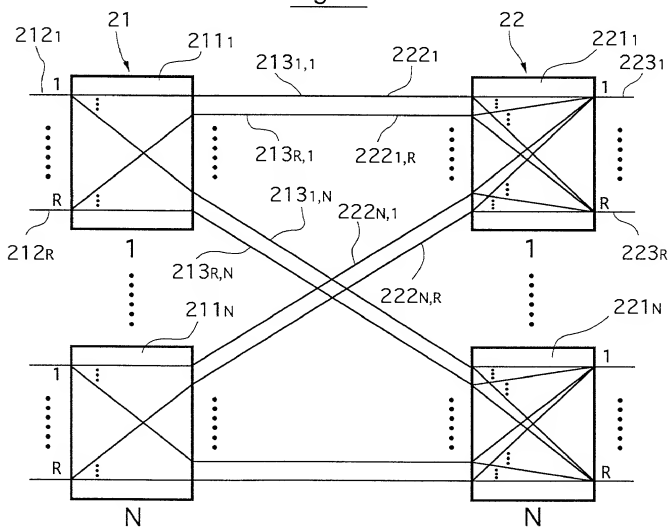
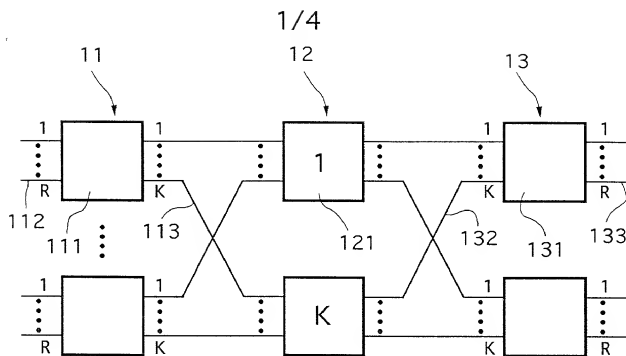
5           The invention concerns an ATM cell switching device  
comprising at least one inlet stage and one outlet stage,  
each of said stages comprising at least two switching  
matrices (411<sub>i</sub>, 414<sub>j</sub>, 422<sub>k</sub>), wherein there is a specific  
10 path between each input (412<sub>i</sub>) of one of said input  
matrices and each output (442<sub>j</sub>) of one of said output  
matrices, so that the flow of data carried by any  
intermediate link (413<sub>i</sub>, 423<sub>j</sub>) forming said specific path  
is a subset of the flows respectively carried by the  
15 input link and the output link with which it is  
associated. The device can have two or three stages, for  
example.

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Translation of the title and the abstract as they were when originally filed by the  
Applicant. No account has been taken of any changes that may have been made  
35 subsequently by the PCT Authorities acting ex officio, e.g. under PCT Rules 37.2,  
38.2, and/or 48.3.



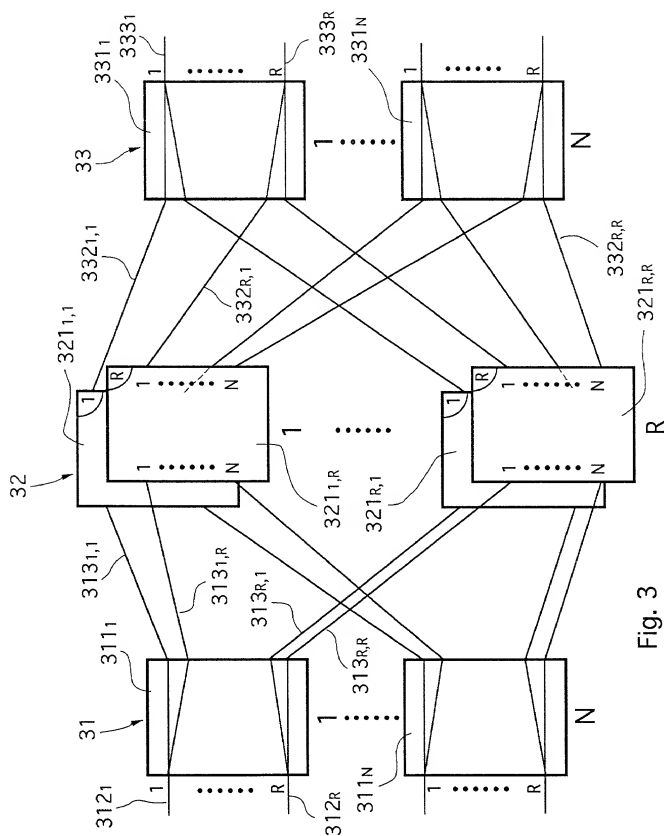


Fig. 3

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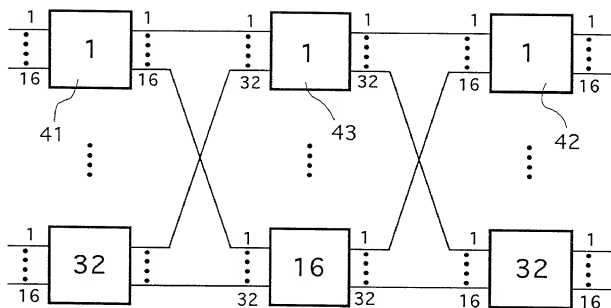


Fig. 4A

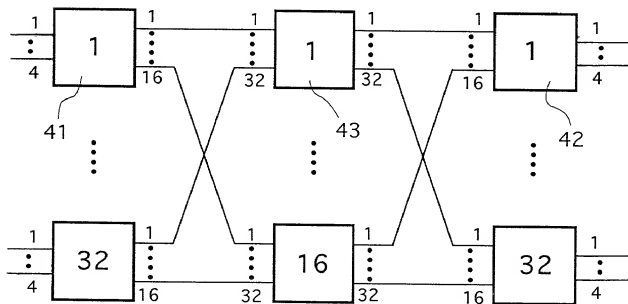


Fig. 4B

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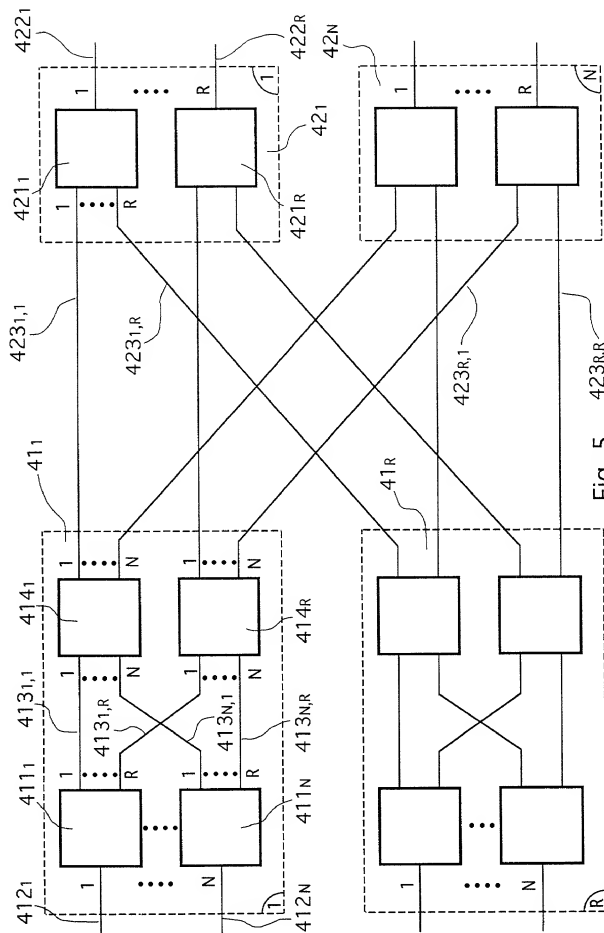


Fig. 5

## French Language Declaration

### Declaration and Power of Attorney for Patent Application

### Déclaration et Pouvoirs pour Demande de Brevet

#### French Language Declaration

En tant que l'inventeur nommé ci-après, je déclare par le présent acte que:

As a below named inventor, I hereby declare that:

Mon domicile, mon adresse postale et ma nationalité sont ceux figurant ci-dessous à côté de mon nom.

My residence, post office address and citizenship are as stated next to my name.

Je crois être le premier inventeur original et unique (si un seul nom est mentionné ci-dessous), ou l'un des premiers co-inventeurs originaux (si plusieurs noms sont mentionnés ci-dessous) de l'objet revendiqué, pour lequel une demande de brevet a été déposée concernant l'invention de la description identifiée par le numéro de référence

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

#### A NON-BLOCKING DEVICE FOR SWITCHING ATM CELLS

Je déclare par le présent acte avoir passé en revue et compris le contenu de la description ci-dessus, revendications comprises.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

Je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

Je revendique par le présent acte avoir la priorité étrangère, en vertu du Titre 35, § 119(a)-(d) ou § 365(b) du Code des Etats-Unis, sur toute demande étrangère de brevet ou certificat d'inventeur ou, en vertu du Titre 35, § 365(a) du même Code, sur toute demande internationale PCT désignant au moins un pays autre que les Etats-Unis et figurant ci-dessous et, j'ai aussi indiqué ci-dessous toute demande étrangère de brevet, tout certificat d'inventeur ou toute demande internationale PCT ayant une date de dépôt précédant celle de la demande à propos de laquelle une priorité est revendiquée.

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior foreign application(s) for which priority is claimed

Demande(s) de brevet étrangère(s) antérieure(s) dont la priorité est revendiquée

(Number) (Numéro)	(Country) (Pays)	(Day/Month/Year Filed) (Jour/Mois/Année de dépôt)
97 08 604	FRANCE	07/07/1997

Prior foreign applications for which priority is not claimed

Demande(s) de brevet étrangères antérieure(s) dont la priorité n'est pas revendiquée

(Number) (Numéro)	(Country) (Pays)	(Day/Month/Year Filed) (Jour/Mois/Année de dépôt)

## French Language Declaration

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 119(c) du Code des Etats-Unis, de toute demande de brevet provisoire effectuée aux Etats-Unis et figurant ci-dessous.

I hereby claim the benefit under Title 35, United States Code, § 119(c) of any United States provisional application(s) listed below.

(Application No.)  
(No de demande)

(Filing Date)  
(Date de dépôt)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 120 du Code des Etats-Unis, de toute demande de brevet effectuée aux Etats-Unis, ou en vertu du Titre 35, § 365(c) du même Code, de toute demande internationale PCT désignant les Etats-Unis et figurant ci-dessous et, dans la mesure où l'objet de chacune des revendications de cette demande de brevet n'est pas divulgué dans la demande antérieure américaine ou internationale PCT, en vertu des dispositions du premier paragraphe du Titre 35, § 112 du Code des Etats-Unis, je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations, dont j'ai pu disposer entre la date de dépôt de la demande antérieure et la date de dépôt de la demande nationale ou internationale PCT de la présente demande.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

PCT/FR98/01441  
(Application No.)  
(No de demande)

PCT/FR98/01441  
(Filing Date)  
(Date de dépôt)

(Status)(patented, pending, abandoned)  
(Statut)(breveté, en cours d'examen, abandonné)

Je déclare par le présent acte que toute déclaration ci-incluse est, à ma connaissance, véridique et que toute déclaration formulée à partir de renseignements ou de suppositions est tenue pour véridique; et de plus, que toutes ces déclarations ont été formulées en sachant que toute fausse déclaration volontaire ou son équivalent est passible d'une amende ou d'une incarcération, ou des deux, en vertu de la Section 1001 du Titre 18 du Code des Etats-Unis, et que de telles déclarations volontairement fausses risquent de compromettre la validité de la demande de brevet ou du brevet délivré à partir de celle-ci.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



# French Language Declaration

POUVOIRS: En tant que l'inventeur cité, je désigne par la présente l'(les) avocat(s) et/ou agent(s) suivant(s) pour qu'ils poursuive(nt) la procédure de cette demande de brevet et traite(nt) toute affaire s'y rapportant avec l'Office des brevets et des marques: (mentionner le nom et le numéro d'enregistrement).


POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

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(Fournir les mêmes renseignements et la signature de tout co-inventeur supplémentaire.)

(Supply similar information and signature for third and subsequent joint inventors.)